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PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

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INVENTOR(S)

Given Name (first and middle (if any))	Family Name or Surname	Residence (City and either State or Foreign Country)
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Additional inventors are being named on the _____ separately numbered sheets attached hereto

TITLE OF THE INVENTION (500 characters max)

POWER SYSTEMS UTILIZING HYDROLYTICALLY GENERATED HYDROGEN

Direct all correspondence to: **CORRESPONDENCE ADDRESS**

☐ Customer Number:

OR

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ENCLOSED APPLICATION PARTS (check all that apply)

<input checked="" type="checkbox"/> Specification Number of Pages <u>13</u>	<input type="checkbox"/> CD(s), Number _____
<input checked="" type="checkbox"/> Drawing(s) Number of Sheets <u>2</u>	<input type="checkbox"/> Other (specify) _____
<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT

<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	FILING FEE Amount (\$) <div style="border: 1px solid black; padding: 10px; text-align: center; width: 100px; margin: 0 auto;">\$80.00</div>
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The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

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[Page 1 of 2]

Respectfully submitted,

SIGNATURE

TYPED or PRINTED NAME Todd N. Hathaway

TELEPHONE 360-647-1976

Date 26 March 2004

REGISTRATION NO. 32,991

(if appropriate)

Docket Number: P04013

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☒ Applicant claims small entity status. See 37 CFR 1.27

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First Named Inventor

Tomasz Troczynski

Examiner Name

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Attorney Docket No.

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Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	80.00
SUBTOTAL (1)				(\$)	80.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below		Fee Paid	
Total Claims	<input type="text"/>	-20** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>
Independent Claims	<input type="text"/>	-3** =	<input type="text"/>	X	<input type="text"/>	=	<input type="text"/>
Multiple Dependent					<input type="text"/>	=	<input type="text"/>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

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FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

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SUBTOTAL (3)

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SUBMITTED BY

(Complete (if applicable))

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(Attorney/Agent)

32,991

Telephone 360-647-1976

Signature

Date

26 March 2004

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POWER SYSTEMS UTILIZING HYDROLYTICALLY GENERATED HYDROGEN

BACKGROUND

5 a. Field of the Invention

The present invention relates generally to hydrogen-based power systems, and, more particularly, to a hydrogen production and supply system that generates hydrogen by hydrolysis using metal composite materials under near-neutral pH conditions and that supplies the hydrogen
10 to a fuel cell or other user device.

b. Related Art

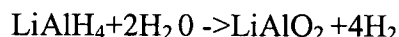
Hydrogen-based fuel systems hold the promise of clean power from a renewable
15 resource, i.e., water. In some instances, combustion of hydrogen in manner similar to that of fossil fuels (e.g., in a combustion engine) has been used or proposed, however, the efficiencies are comparatively low and a certain amount of environmentally undesirable emissions is inevitable; moreover, combustion-based systems are not suitable for use in many products, such as portable electrical and electronic devices. Fuel cells represent a more viable option for many
20 applications, since they provide an electrical output with essentially no emissions and can be scaled to very large or very small sizes to meet the requirements of various applications. However, fuel cells are subject to comparatively narrow operating parameters, in particular are sensitive to supply pressures.

The most common methods of producing hydrogen have been electrolysis (i.e., passing
25 electric current through water to disassociate the molecules) and extraction from fossil fuels such as natural gas or methanol. Where this is done at an industrial plant, the hydrogen can, of course, be compressed and stored in tanks or other containers. However, the barrier to successful use on a wide-spread basis lies primarily in problems of distribution, since transporting containers of

5 compressed hydrogen is both expensive and dangerous. In many or most instances, therefore, it is preferable to generate the hydrogen locally (i.e., at or near the site of use) and on demand.

One approach, currently favored for vehicles, is to extract the hydrogen from a liquid hydrocarbon fuel (e.g., gasoline or methanol) that is carried in a non-pressurized tank. While perhaps less dangerous than transporting compressed hydrogen, the hazards and
 10 costs/complexity of hydrocarbon-fueled systems render them unsatisfactory for many applications, such as for use in portable electronic products. Such systems also produce environmentally undesirable emissions in the form of carbon dioxide, carbon monoxide and other gasses, and moreover many or most are reliant on fossil fuels derived from non-renewable sources.

15 Another way in which hydrogen may be generated on a localized or portable basis is by chemical reaction. As is well known, hydrogen is produced by chemical reaction between water and chemical hydrides, comprising hydrogen and one or more alkyl or alkyl earth metals; examples of metal hydrides that have been utilized in such processes include lithium hydride (LiH), lithium tetrahydridoaluminate (LiAlH₄), lithium tetrahydridoborate (LiBH₄), sodium
 20 hydride (NaH), sodium tetrahydridoaluminate (NaAlH₄) and sodium tetrahydridoborate (NaBH₄). For example, lithium tetrahydridoaluminate reacts with water to produce hydrogen in the following equation:



25 However, the reaction is highly exothermic (up to 700 kJ per mole) and potentially dangerous, so that the rate at which water is combined with the chemical hydride must precisely controlled in order to avoid a runaway reaction and possible explosion. Achieving such control has proven elusive. Most efforts have focused on the use of catalysts, without which the
 30 hydrides will not react with water, and controlling the rate at which the reactants (water and hydride) are brought into contact with the catalyst surface. However, it has been found that when the reactions are controlled at levels that avoid runaway exothermic conditions they become unacceptably inefficient (for example, consuming only 40–60% of the available reactants), due in part to accumulation of reaction products on the catalyst.

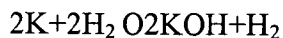
5 Other attempts at controlling water-chemical hydride reactions have taken the approach of physically separating the reactants. For example, it has been proposed to maintain separation of the hydride from the water using a membrane that is permeable to water but impermeable to hydrogen and other reaction products. This is impractical due to the difficulty in producing a membrane that is permeable to water but not to hydrogen, since hydrogen molecules are
 10 significantly smaller than water molecules. The system that is shown in US Patent No. 5,702,491, in turn, attempts to improve control of the reaction by pre-heating the hydride prior to introducing water. This is intended to avoid the initial surge in pressure that is characteristic of chemical hydride systems, but it does nothing to help control the reaction after start-up. Moreover, the reliance on pre-heating decreases efficiency of the system and adds undesirable
 15 complication.

US 5,702,491 also illustrates a rechargeable metal-hydride buffer, which is connected between the generator and a fuel cell to augment the flow of hydrogen during start-up and at other times when demand exceeds the rate of generation. The buffer is of little benefit, however, since the pressure that is required to effectively charge the metal hydride (typically, 10atm) is
 20 some 3-4 times greater than the maximum pressure permitted for the fuel cell (typically, 1-3atm or less). Consequently, operating the system at pressures high enough to charge the buffer would damage the fuel cell (e.g., cause rupture of the PEM membrane), while pressures low enough for the fuel cell would be inadequate to charge the buffer.

Yet other approaches have been proposed, but none has provided a satisfactory solution.
 25 Moreover, the cost of chemical hydrides is uneconomically high. Chemical hydride-water reactors have therefore remained largely unacceptable for use in association with fuel cells and other devices that require a supply of hydrogen within controlled parameters.

Hydrogen can also be produced by the simple reaction of water with alkaline metals, such as potassium or sodium. For example, the following reaction proceeds spontaneously:

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However, these reactions are not just exothermic but in fact violent, making them if anything more difficult to control than the water-metal hydride processes described above. Also,
 35 the residual hydroxide product (i.e., KOH in the above reaction) is highly alkaline, corrosive and

5 dangerous to handle, and is hazardous to the environment. However, attempts to use metals having more benign characteristics (e.g., aluminum) have in the past been stymied by the tendency of reaction products to deposit on the surface of the metal, blocking further access to the surface and bringing the reaction to a halt in a phenomenon known as “passivation”.

Accordingly, there exists a need for a method and apparatus for on-board, on-demand
10 generation and supply of hydrogen for use by fuel cells and other H₂-driven user devices. Furthermore, there exists a need for such a system that is self-controlling and avoids the potential for runaway reactions or explosion while still achieving an acceptable level of efficiency. Still further, there exists a need for such method and apparatus that can be scaled for use with portable devices or non-portable installations, as desired. Still further, there exists a need for such a
15 method and apparatus that is environmentally friendly and does not produce problematic waste products. Still further, there exists a need for such a method and apparatus that is low cost and that can be implemented utilizing inexpensive, readily available materials.

SUMMARY OF THE INVENTION

5 The present invention has solved the problems cited above, and is a system for generating hydrogen by hydrolysis using a metal composite reactant material under near-neutral pH conditions, and for supplying the hydrogen to a fuel cell or other user device. The metal composite reactant material may be a mechanical amalgam of metallic aluminum and calcined alumina, compressed to pellet form.

10 Broadly, the system comprises a reactor vessel holding a supply of the aluminum composite reactant material, means for selectively supplying water to the reactor vessel so as to produce the hydrolysis reaction therein, means for capturing hydrogen generated by the hydrolysis reaction, and means for conveying the captured hydrogen to the fuel cell or other user device. The system may include buffer storage for receiving the hydrogen from the reactor
15 vessel at a first, relatively high pressure, and then discharging the hydrogen to the fuel cell or other user device at a second, relatively low pressure.

 The buffer storage may comprise first and second buffers, and means for switching flow of the hydrogen between the buffer vessels on an alternating basis, so that one buffer will be charging from the flow while the other is discharging to the fuel cell or other device. The buffer
20 may comprise a receptacle containing a metal hydride material.

 The means for selectively supplying water to the reactor vessel may comprise a water line connecting the reactor vessel to a source of water, a valve mounted in the water line for controlling flow of water therethrough, and control means for selectively opening the valve in response to a demand for hydrogen by the fuel cell or other user device. The control means may
25 comprise a pressure sensor that senses pressure of the hydrogen in the flow to the fuel cell or other user device, and means for opening the valve in the water supply line in response to a sensed drop in the hydrogen pressure. The means for opening the valve may comprise an electronic processor that receives an output signal from the pressure sensor. The processor may also control the valve or valves for switching the flow of hydrogen between the first and second
30 buffers.

 In a preferred embodiment, the system may comprise a plurality of the reactor vessels, and means for separately controlling the supply of water to the vessels, so that hydrolysis can be produced in the different reactor vessels in a sequential, staged or phased manner.

5 These and other features and advantages of the present invention will be more readily understood from a reading of the following detailed description with reference to the accompanying drawings.

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BRIEF DESCRIPTION OF THE DRAWINGS

FIGS. 1A and 1B are schematic diagrams of a hydrogen generation and supply system in accordance with a first embodiment of the present invention, showing the manner in which hydrogen is generated in a reaction cell and the resulting flow is switched alternately between first and second metal-hydride storage buffers for subsequent release to a fuel cell; and

5 FIG. 2 is a schematic diagram of a hydrogen generation and supply apparatus in accordance with a second embodiment of the present invention, showing the use of multiple reaction cells that are supplied with water separately in a sequential, staged or phased manner so as to increase the duration or amount of hydrogen production in accordance with the demands of the user device.

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DETAILED DESCRIPTION

5 a. Overview

The present invention provides a safe, low cost, environmentally friendly system for on-demand supply of substantially pure hydrogen (H_2) within parameters that meet the requirement of fuel cells and similar user devices, and that can also be used with direct H_2 -driven devices
10 such as catalytic combustion devices or internal combustion engines. The system can be scaled for use in portable devices such as mobile electronics and transportable equipment, or for stationary applications such as emergency and household power supplies in remote (e.g., off-grid, off-gas) locations.

The system of the present invention alleviates the problems of the prior art systems
15 described above, and uses an aluminum-based water split reaction as disclosed in US Patent No. 6,582,676 (Chaklader), which is incorporated herein by reference. The Chaklader reaction represents a variant of the water-chemical hydride reactions, but using aluminum. Reaction temperatures are far lower, alleviating the possibility of a runaway reaction and therefore permitting the design of a self-controlling H_2 generation system that does not require a catalyst to
20 control the reaction.

The Chaklader reaction employs a composite reactant material, in which metallic aluminum is mechanically alloyed with alumina or certain other materials (ceramic compounds containing aluminum ions; carbon; calcium carbonate; calcium hydroxide), and pressed into pellet form. The composite material effectively hydrolyzes water to hydrogen at neutral or near
25 neutral pH ranges, without experiencing passivation. Other metals such as magnesium and zinc may be used, but aluminum is preferred.

In a preferred form, the composite material is a combination of metallic aluminum with calcined Boehmite. Boehmite is one of the common forms of bauxite and as such is inexpensive and readily available. Moreover, as a primary raw material in the manufacture of aluminum,
30 Boehmite (or an equivalent bauxite) will ordinarily be available at the smelter where the aluminum itself is produced and therefore need not be obtained separately. As an additional advantage, aluminum smelters typically employ hydroelectric power, so that, in terms of energy consumption, production of the aluminum composite material utilizes a renewable energy

5 resource and creates essentially no emissions. Moreover, the “waste product” of the Chaklader reaction (AlOH) is not only environmentally benign (being essentially the same as naturally-occurring bauxite), but it is also readily recycled in the production of aluminum if desired.

The Chaklader reaction has the added advantage of being able to proceed at comparatively high pressures. However, a trade-off of the controlled character of the reaction is
10 that a significant induction period (about 1-3 minutes, depending on temperature) is needed before H_2 production reaches full capacity. Moreover, once water has been added to the aluminum composite material the reaction will proceed to completion, i.e., until either the water or reactive metal has been consumed.

The present invention provides a system that accommodates these limitations while
15 providing a steady, controlled flow of hydrogen to the fuel cell or other H_2 -driven device. In particular, as will be described in greater detail below, the system includes a reactor vessel and preferably at least one buffer that charges from the reactor vessel at an elevated pressure (e.g., 10atm) and then discharges at a reduced pressure (e.g., 1-3atm); preferably the system includes two buffers, so that one can be charged while the other is discharging to the fuel cell other user
20 device. The buffer provides an initial flow to the user device during start-up, and may also be used to provide a flow of H_2 for warming one or more of the reactants so as to accelerate the water split reaction and reduce the induction period. It will be understood that the 1-3 minute induction delay may be acceptable for some user devices that do not require immediate power (e.g., heaters), but such systems may still need the buffer to supply an initial source of energy to
25 start the water split reactor, especially in cold climates.

The system also preferably includes a valve or other control mechanism that is responsive to demand and that controls the flow of water to the reactor vessel or vessels. In this manner, the reaction will only be initiated when there is demand from the user device; the flow of water is terminated if H_2 demand ceases, leaving the remainder of the aluminum composite material
30 unreacted for subsequent use. Moreover, the anti-passivation qualities of the aluminum composite material ensure that the material will remain comparatively “fresh” and free from an accumulation of reaction products that would interfere restarting the system after a period of non-use.

5 Where the user device is a fuel cell or other device in which the consumed hydrogen is converted to water, the resultant water flow can be recycled for use in the reaction, forming a closed, self-contained system.

b. Description of Preferred Embodiments

10 FIGS. 1A-1B and FIG. 2 show first and second systems in accordance with preferred embodiments of the present invention. Like reference numerals will refer to like elements throughout the drawings. It will be understood that features incorporated in one system may be used with the other, and vice versa.

15 FIGS. 1A-1B show a H₂ generation and supply system 10, having a reactor vessel or cell 12 in which the Chaklader reaction described above is carried out. As can be seen, the cell holds a volume of the aluminum composite material ready for use; in the illustrated embodiment, the reactor cell is pre-filled with the aluminum composite material for use on a batch-type basis; as the reactant material becomes depleted the reaction vessels can be refilled, or exchanged for
20 fresh, pre-filled vessels. However, it will be understood that in some embodiments the material may be fed into the vessel in an ongoing manner to support a continuous reaction. It will also be understood that the figures represent schematic views of the systems, and that the actual configuration of the reactor vessel and other components will vary depending on design factors.

 Water 16, in turn, is supplied to the reactor cell through a line 19 that is connected to a
25 reservoir (not shown) or other source, with flow being controlled by a valve 20 or corresponding mechanism, as will be described in greater detail below. The type and size of the water supply or reservoir will vary with the size and nature of the system; for example, large systems for emergency power may be supplied from a large tank or pressurized (e.g., municipal) water system, whereas very small systems for portable electronic devices may be fed from a small
30 water-saturated sponge by capillary action.

 Following introduction of the water, hydrogen gas 22 is produced by the result reaction and is captured and fed from the cell via a discharge line 24. In the embodiment which is illustrated in FIGS. 1A-1B, which includes first and second H₂ storage buffers 26a, 26b, the discharge line 26 is connected to a diverter valve 28 that shifts the flow between the two buffers.

35 The buffers are suitably receptacles or containers filled with metal hydride, which is capable of

5 storing approximately 1-2 weight-percent H_2 , metal hydride (e.g., nickel metal hydride) materials are generally preferred because they are rechargeable over many cycles, although it will be understood that other suitable buffers may be employed.

The control valve 28 in the illustrated embodiment is suitably a simple solenoid-operated 4-way valve, but again it will be understood that any suitable valve arrangement may be used. 10 As can be seen in FIGS. 1A-1B, the valve is alternately switched from a first position in which H_2 is discharged to the fuel cell 30 from the first buffer 26a (via line 32), to a second position in which the roles are reversed i.e., the second buffer discharges to the fuel cell while the first is charging. In this manner, H_2 pressure from the reactor cell (which, as noted above, is sufficiently high to effectively charge the metal hydride material) is supplied only to the buffer that is being 15 charged; the fuel cell receives only the low-pressure H_2 that is discharged from the buffers, and is kept isolated from the high pressures from the supply side and therefore protected from damage.

Switching of the diverter valve 28 is controlled by processor 34 (e.g., a process logic board). The processor receives input from a pressure sensor 36 (e.g., a pressure sensor transducer) that is mounted in the hydrogen discharge line 24 upstream of the diverter valve. As 20 filling of the metal hydride buffer reaches capacity, an increase in pressure detected by sensor 36 may be used to actuate valve 28 to divert the flow to the other buffer; alternatively, the valve may be switched by the processor on the basis of elapsed time or another predetermined factor or routine.

Processor 34 also controls operation of the water supply valve 20. The valve may be 25 opened in response to a signal received from the pressure sensor 36, for example, a signal resulting from a pressure drop that indicates demand from the fuel cell or other user device. Water will therefore be supplied only when there is demand for the H_2 produced by the hydrolytic reaction. In some embodiments the valve may also open in response to signals from other sensors, or in response to a manually or automatically actuated "on" switch.

30 Furthermore, in some embodiments the reaction may be controlled by means of the pressure build-up acting directly on the vessel rather than through the mechanism of the water control valve. Although the Chaklader reaction is capable of proceeding at relatively elevated pressures, it has been found that the reaction will not continue above a certain pressure. Control may therefore be achieved by regulating hydrogen flow in accordance with demand, so that with

5 increased demand the pressure will be sufficiently low for the reaction to proceed and with reduced demand the pressure will increase and slow the reaction or bring it to a halt.

FIG. 2 shows a second system 40 that uses a plurality of reactor cells 12a-d rather than the single reactor cell that is shown in FIGS. 1A-1B. In addition, the system that is shown in FIG. 2 includes only a single hydrogen buffer 26, with supply and discharge lines 42, 44, rather than dual buffers; this may be acceptable where, as noted above, the H₂ consuming device 46
10 does not require a buffer or is not sensitive to supply pressures. However, it will be understood that the system that is shown in FIG. 2 may also be used with fuel cells and may include dual buffers as well.

The multiple reaction cell configuration allows the cells to be actuated in a sequential or
15 phased manner, as necessary or desirable for certain user devices. In the system 40 that is shown in FIG. 2, the cells are “ganged” in pairs - 12a, 12b and 12c, 12d, - each with a separate water control valve 20a, 20b, the discharge sides of the reactor vessels turn being joined together by hydrogen collector lines 48a, 48b. The second pair of cells 12c, 12d may therefore be utilized to provide a reserve or surge capacity for the first pair 12a, 12b.

20 For example, the system may operate at a first, relatively low output, with only the single valve 20a open so that the hydrolytic reaction takes place only in the first pair of cells 12a, 12b. Then, in response to increased demand from the user device 46, detected as a pressure drop by sensor 36, the processor 34 will open the second valve 20b so as to commence hydrogen production in the second pair cells 12c, 12d and increase the total output of the system. Likewise, the processor 34 may close the second valve 20b in response to a reduced demand
25 from the device 46, or may close both valves 20a, 20b if demand ceases altogether.

As another example, the pairs of cells may be actuated in a sequential manner, i.e., water may be supplied to the first pair of cells until the aluminum composite material has been fully consumed, at which time the second valve 20b is opened to begin hydrogen production in the
30 second pair of cells 12c, 12d. Hydrogen production is thus continued for an extended period, the rate of output itself being unchanged.

It will be understood that a reserve capacity may be provided in some embodiments by simply increasing the size of the reactor vessel and then supplying water at a faster or slower rate as needed to meet the demand. However, the multiple configuration using smaller cells, as

5 shown in FIG. 2, enjoys significant advantages in terms of efficiency and faster response times,
and also avoids the need for a complex metering system.

The foregoing description of the preferred embodiment of the invention has been
presented for the purpose of illustration and description. It is not intended to be exhaustive or to
limit the invention to the precise form disclosed. Many modifications and variations are possible
10 in light of the above teaching.

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FIG. 1A

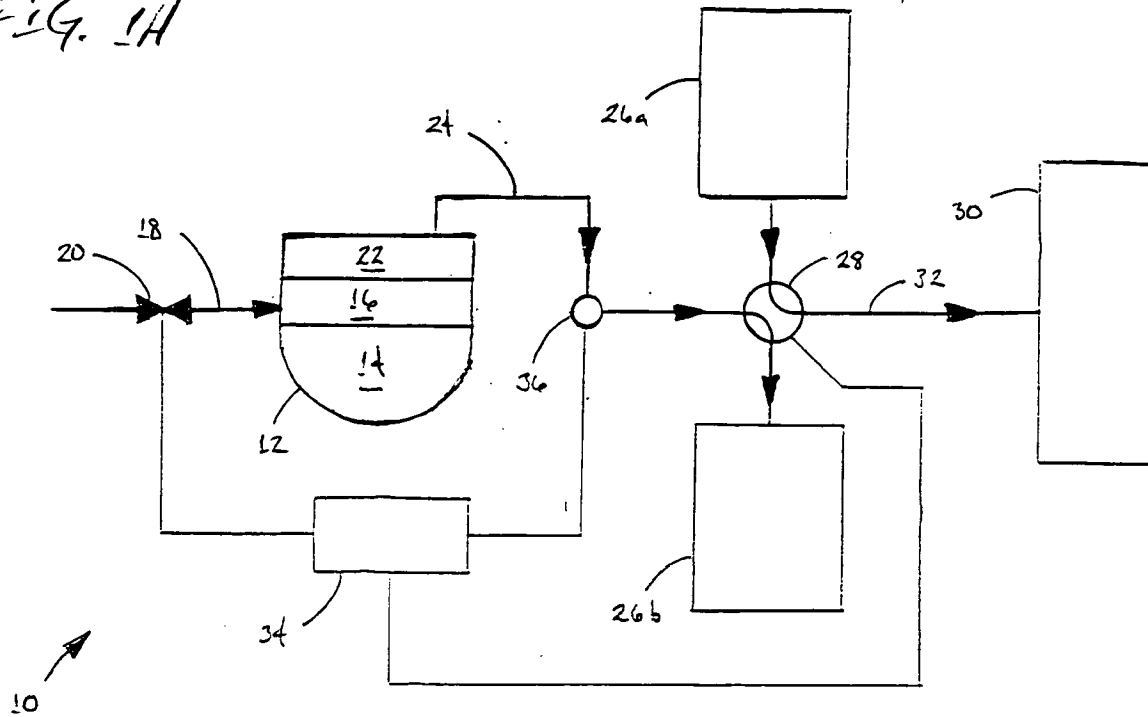


FIG. 1B

